# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange A or Section 30(h) of the Investment Company Act of 1

2. Issuer Name and Ticker or Trading Symbol

### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Act of 1934 940		hours per response:	0.5					
	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol <u>Lifevantage Corp</u> [ LFVN ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Rose Justin	<u>n</u>				Director	10% Owner		
(Last) 9785 SOUTH	(First) H MONROE STI	(Middle) REET, SUITE 400	3. Date of Earliest Transaction (Month/Day/Year) 11/15/2018	- x	Officer (give title below) Chief Sales	Other (specify below) Officer		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
SANDY	UT	84070		X	Form filed by One Re	porting Person		
(City)	(State)	(Zip)	-		Form filed by More the Person	an One Reporting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock <sup>(1)</sup>	11/15/2018		Α		8,730	Α	\$ <mark>0</mark>	20,795	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 6. Date Exercisable and 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Transaction Code (Instr. 8) Conversion Date Derivative Security Execution Date, of Indirect Expiration Date Amount of derivative Ownership (Month/Day/Year) Derivative Security if any (Month/Day/Year) Securities or Exercise Securities Form: Beneficial (Instr. 3) Price of Derivative (Month/Day/Year) Securities Acquired Underlying Derivative Security (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) Owned or Indirect (A) or Disposed of (D) Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount or Number Date Expiration Title Code v (A) (D) Exercisable Date Shares Performance Commo 11/15/2018 8,730 Stock (3) v (3) (3) \$<mark>0</mark> 8,730 D A 8,730 Stock Units<sup>(2)</sup>

#### **Explanation of Responses:**

1. This reflects a stock unit award, in which each stock unit represents a right to receive one share of issuer common stock, which award will vest, subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the total number of units will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the total number of units will vest as of the first day of each calendar quarter thereafter.

2. Each performance unit award represents a right to receive one share of issuer common stock, with the total number of units reflecting the number that are eligible to be earned at target-level performance achievement. Upon achievement of the maximum level of the applicable performance criteria, the reporting person may become eligible to earn 200% of the target number of units.

3. The performance units will vest only to the extent the specified financial performance criteria are achieved and subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the earned award will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the earned award will vest as of the first day of each calendar quarter thereafter.

#### Remarks:

/s/ Justin Rose

11/30/2018 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.