SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Ad <u>McMurray</u>	ddress of Reporting <u>Kevin</u>	Person*	2. Issuer Name and Ticker or Trading Symbol Lifevantage Corp [LFVN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) 9785 S. MON	(First) NROE STREET,	(Middle) SUITE 400	3. Date of Earliest Transaction (Month/Day/Year) 12/05/2019	X Officer (give title Other (specify below) below) General Counsel								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)								
SANDY	UT	84070		X Form filed by One Reporting Person								
(City)	(State)	(Zip)	—	Form filed by More than One Reporting Person								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11311.4)
Common Stock ⁽¹⁾	12/05/2019		Α		4,546	Α	\$ <mark>0</mark>	53,369	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 6. Date Exercisable and 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Transaction Code (Instr. 8) Conversion Execution Date, Derivative Security of Indirect Expiration Date Amount of derivative Ownership (Month/Day/Year) Security Derivative (Month/Day/Year) Securities or Exercise if any Securities Form: Beneficial (Instr. 3) Price of Derivative (Month/Day/Year) Securities Acquired Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) **Derivative Security** Owned or Indirect (A) or Disposed of (D) Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount or Number Date Expiration Title Code v (A) (D) Exercisable Date Shares Performance Restricted Commor (3) 12/05/2019 (3) (3) 4,546 4,546 τ 4.546 D A \$<mark>0</mark> Stock Stock Units⁽²⁾

Explanation of Responses:

1. This reflects a stock unit award, in which each stock unit represents a right to receive one share of issuer common stock, which award will vest, subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the total number of units will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the total number of units will vest as of the first day of each calendar quarter thereafter.

2. Each PRSU represents a right to receive one share of issuer common stock, with the total number of units reflecting the number that are eligible to be earned at target-level performance achievement. Upon achievement of the maximum level of the applicable performance criteria, the reporting person may become eligible to earn 200% of the target number of units.

3. The PRSUs will vest only to the extent the specified financial performance criteria are achieved and subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the earned award will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the earned award will vest as of the first day of each calendar quarter thereafter.

Remarks:

<u>/s/ Kevin McMurray</u>

** Signature of Reporting Person

<u>12/09/2019</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.