### SEC Form 4

П

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

I	L	
	hours per response:	0.5
	Estimated average burde	en
I		3233-0201

1. Name and Add Rose Justin	dress of Reporting I	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Lifevantage Corp</u> [ LFVN ]		ationship of Reporting Per k all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify			
(Last) 9785 SOUTH	(First) MONROE STF	(Middle) REET, SUITE 400	3. Date of Earliest Transaction (Month/Day/Year) 12/05/2019		below) Chief Sales Offic	below)			
(Street) SANDY (City)	SANDY UT 84070		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (		4. Securities Acquire Disposed Of (D) (Ins 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock <sup>(1)</sup>	12/05/2019		A		5,051	Α	\$ <mark>0</mark>	95,194	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security 6. Date Exercisable and 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Transaction Code (Instr. 8) Conversion Derivative Security Date Execution Date, Expiration Date (Month/Day/Year) of Indirect Amount of derivative Ownership (Month/Day/Year) if any Derivative Securities or Exercise Securities Form: Beneficial (Instr. 3) Price of Derivative (Month/Day/Year) Securities Acquired Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) Derivative Security Owned or Indirect (A) or Disposed of (D) Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount or Number Date Expiration of Shares (D) Title Code v (A) Exercisable Date Performance Restricted Commor (3) (3) 12/05/2019 5.051 (3) 5.051 τ 5.051 \$<mark>0</mark> D A Stock Stock Units<sup>(2)</sup>

Explanation of Responses:

1. This reflects a stock unit award, in which each stock unit represents a right to receive one share of issuer common stock, which award will vest, subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the total number of units will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the total number of units will vest as of the first day of each calendar quarter thereafter.

2. Each PRSU represents a right to receive one share of issuer common stock, with the total number of units reflecting the number that are eligible to be earned at target-level performance achievement. Upon achievement of the maximum level of the applicable performance criteria, the reporting person may become eligible to earn 200% of the target number of units.

3. The PRSUs will vest only to the extent the specified financial performance criteria are achieved and subject to the reporting person's continued service with the issuer, as follows: (i) 5/12ths of the earned award will vest on the first anniversary of the grant date and (ii) an additional 1/12 of the earned award will vest as of the first day of each calendar quarter thereafter.

Remarks:

### <u>/s/ Justin Rose</u>

\*\* Signature of Reporting Person

<u>12/09/2019</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.